For Internal Use Submit 1 Original OMB APPROVAL Only and 9 Copies Mail Proce OMB Number: 3235-0504 Sec File No. 9-Sectio Expires: August 31, 2010 UNITED STATES Estimated average burden **JAN 16** SECURITIES AND EXCHANGE COMMISSION hours per response.....3.60 Washington, D.C. 20549 Washington DC 408 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdag BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: Kensho Intelligent Infrastructure Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based 6. Ticker Symbol(s) of New Derivative Securities Product: XKII 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: CBOE, NASDAQ Stock Market LLC, NYSE 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A 18001983 Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Colleen Steele Securities and Exchange Commission Assistant Corporate Secretary Trading and Markets Telephone Number: 301-978-8736 Manual Signature of Official Respons Form: Date: January 09, 2048 Act Securities Exchange Act of 1934 Section 19b-4 Rule SEC 2449 (6-01)

> Public Availability:

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